



#4

PTO/SB/106 (8-96)  
Approved for use through 9/30/98. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Declaration and Power of Attorney For Patent Application

M1971-92

特許出願宣言書及び委任状

### Japanese Language Declaration

#### 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A MAGNETIC THIN FILM, MAGNETIC COMPONENT  
THAT USES THIS MAGNETIC THIN FILM,  
MANUFACTURING METHODS FOR THE SAME,  
AND A POWER CONVERSION DEVICE

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約  
国際出願番号を \_\_\_\_\_ とし、  
(該当する場合) \_\_\_\_\_ に訂正されました。

☐ was filed on May 1, 2001  
as United States Application Number or  
PCT International Application Number  
09/846,773 and was amended on \_\_\_\_\_  
(if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、  
内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of  
the above identified specification, including the claims, as  
amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されると  
おり、特許資格の有無について重要な情報を開示する義務が  
あることを認めます。

I acknowledge the duty to disclose information which is material to  
patentability as defined in Title 37, Code of Federal Regulations,  
Section 1.56.

## Japanese Language Declaration

M1971-92

(日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

## Prior Foreign Application(s)

外国での先行出願

2000-133744

(Number)

(番号)

2000-014640

(Number)

(番号)

JAPAN

(Country)

(国名)

JAPAN

(Country)

(国名)

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

02/May/2000

(Day/Month/Year Filed)

(出願年月日)

24/January/2000

(Day/Month/Year Filed)

(出願年月日)

☐☒

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status Patented, Pending, Abandoned)

(現況：特許許可済、係属中、放棄済)

(Status Patented, Pending, Abandoned)

(現況：特許許可済、係属中、放棄済)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じていることに基づき表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**Japanese Language Declaration**  
(日本語宣言書)

M1971-92

委任状: 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁理士、または代理人の氏名及び登録番号を明記のこと)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

Thomas R. Morrison, Esq. (Reg. No. 27,361), Lyman H. Smith (Reg. No. 44,342), Andrew F. Young, Esq. (Reg. No. 44,001)

書類送付先

Send Correspondence to:

Thomas R. Morrison, Esq.  
MORRISON LAW FIRM  
145 North Fifth Avenue  
Mount Vernon, NY 10550

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Thomas R. Morrison, Esq.  
(914) 667-6755

唯一または第一発明者名	Full name of sole or first inventor
	Kazuo MATSUZAKI
発明者の署名	Inventor's signature
日付	Date
	<i>Kazuo Matsuzaki</i> 16/07/2001
住所	Residence
	Nagano, Japan
国籍	Citizenship
	Japanese
私書箱	Post Office Address
	c/o FUJI ELECTRIC CO., LTD.
	1-1 Tanabeshinden, Kawasaki-ku, Kawasaki 210-0856, JAPAN
第二共同発明者	Full name of second joint inventor, if any
	Taku FURUTA
第二共同発明者	Second inventor's signature
日付	Date
	<i>Taku Furuta</i> 11/09/2001
住所	Residence
	Nagano, Japan
国籍	Citizenship
	Japanese
私書箱	Post Office Address
	c/o FUJI ELECTRIC CO., LTD.
	1-1 Tanabeshinden, Kawasaki-ku, Kawasaki 210-0856, JAPAN

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 9/30/98. OMB 0651-0012  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

追加発明者に対する署名の  
ページは必要に応じ増強する

### Japanese Language Declaration (日本語宣言書)

Signature page for additional inventors  
use as many pages as necessary

M1971-92

唯一または第 発明者名

Full name of 3rd inventor  
Kazumi TAKAGIWA

発明者の署名 日付

Inventor's signature

Date

住所

Kazumi Takagiwa

11 / July / 2001

国籍

Residence  
Nagano, Japan

Citizenship  
Japanese

私書箱

Post Office Address

c/o FUJI ELECTRIC CO., LTD.  
1-1 Tanabeshinden, Kawasaki-ku,  
Kawasaki 210-0856, Japan

唯一または第 発明者名

Full name of 4th inventor  
Zenchi HAYASHI

発明者の署名 日付

Inventor's signature

Date

住所

Zenchi Hayashi

12 / July / 2001

国籍

Residence  
Nagano, Japan

Citizenship  
Japanese

私書箱

Post Office Address

c/o FUJI ELECTRIC CO., LTD.  
1-1, Tanabeshinden, Kawasaki-ku,  
Kawasaki 210-0856, Japan



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/846,773	05/01/2001	Kazuo Matsuzaki	M1971-92

CONFIRMATION NO. 4627

## FORMALITIES LETTER



\*OC00000006237374\*

MORRISON LAW FIRM  
145 North Fifth Avenue  
Mt. Vernon, NY 10550

Date Mailed: 06/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/15/2001 5MINASS1 00000055 09846773

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 OP  
02 FC:103 198.00 OP  
03 FC:102 80.00 OP  
04 FC:105 130.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$278.
  - \$198 for 11 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1118.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);